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PTO/SB/21 (09-04)

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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

6

Application Number	09/849810
Filing Date	May 4, 2001
First Named Inventor	Santiago, et al.
Art Unit	2661
Examiner Name	unknown
Attorney Docket Number	1305.10-US-01


ENCLOSURES (Check all that apply)

- | | | |
|--|---|--|
| <input type="checkbox"/> Fee Transmittal Form
<input type="checkbox"/> Fee Attached
<input type="checkbox"/> Amendment/Reply
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<input type="checkbox"/> Affidavits/declaration(s)
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<input type="checkbox"/> Landscape Table on CD | <input type="checkbox"/> After Allowance Communication to TC
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<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Status Letter
<input type="checkbox"/> Other Enclosure(s) (please identify below): |
|--|---|--|


Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Altera Law Group		
Signature			
Printed name	David H. Carroll		
Date	January 5, 2006	Reg. No.	29,903

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature			
Typed or printed name	Elizabeth Doherty	Date	Jan. 5, 2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Serial No. 09/849810

JAN 05 2006

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Santiago, et al.	Examiner:	Unknown
Serial No.:	09/849810	Group Art Unit:	2661
Filed:	May 4, 2001	Docket No.:	01305.0010-US-01
Title:	SYSTEM AND METHOD FOR HIERARCHICAL POLICING OF FLOWS AND SUBFLOWS OF A DATA STREAM		

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING FACSIMILE TRANSMITTED TO THE
U.S. PATENT AND TRADEMARK OFFICE, FAX NO. (571) 273-8300 ON JAN. 5, 2006.

Elizabeth DOHERTY
Name

Elizabeth Doherty
Signature

SECOND REFUND REQUEST UNDER 37 C.F.R. §1.26

Mail Stop 16
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

On May 10, 2005, a refund request was filed by our office relating to an item incorrectly debited from our PTO deposit account. As of today's date, we have not received a response to our request (other than the return postcard showing receipt at the PTO) or a refund to our deposit account. We herewith refile our request as follows:

On May 5, 2005, our deposit account 50-1038 was charged \$1,300 for "independent claims in excess of 3". The patent application involved is for 09/849810. A review of public PAIR clearly shows that current counsel of record, PATTERSON, THUENTE, SKAAR & CHRISTENSEN, P.A., filed a response to an office action that was received April 25, 2005. In that action applicant added claims at the cost of \$1,300. What isn't found on PAIR is an authorization that this fee be taken from Patterson's deposit account. Lack of an authorization may explain the error by the PTO in taking the money from our account. As the attached copy of the fee worksheet found on PAIR shows, the PTO updated their earlier worksheet used when the application was first filed (by our office) for the charge that should have been billed to Patterson in 2005. Please note that our withdrawal of power of attorney for this application was accepted by the Patent Office in February 2003. A copy of their Decision on Request to Withdraw as Attorney is also attached.

Serial No. 09/849810
Page 2 of 2

PATENT

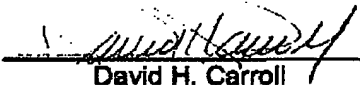
Obviously, since we are no longer prosecuting this patent application, the deposit account activity debiting our account for the \$1,300 fee was made in error. We therefore respectfully request that Deposit Account 50-1038, for Altera Law Group, be credited this amount.

Respectfully submitted,

Altera Law Group, LLC
Customer No. 22865

Date: 01/05/06

By:



David H. Carroll
Reg. No. 29,903
DHC/emd



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, DC 20231
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Paper No. 8

ALTBRA LAW GROUP, LLC
6500 CITY WEST PARKWAY
SUITE 100
MINNEAPOLIS MN 55344-7704

MAILED

FEB 19 2003

Technology Center 2600

In re Application of

Rodolfo Santiago et al

Application No. 09/849,810

Filed: May 4, 2001

For: SYSTEM AND METHOD FOR
HIERARCHICAL POLICING OF FLOWS
AND SUBFLOWS OF A DATA STREAM

DECISION ON REQUEST TO
WITHDRAW AS ATTORNEY

This is a decision on the request to withdraw as attorney/agent of record filed on December 10, 2002.

A grantable request to withdraw as attorney/agent of record must:

- (1) indicate the present mailing address of the attorney(s)/agent(s) who seek(s) to withdraw, and
- (2) be signed by each attorney/agent seeking to withdraw or clearly be signed on their behalf, and
- (3) be approved at least thirty (30) days prior to the maximum extendable period for response to any outstanding Office Action, and
- (4) indicate the address to which future correspondence should be mailed.

Petitioner has met all of the above. Accordingly, the request is GRANTED.

All attorneys and agents of record in this application are removed from record.

All future communications from the Office will be directed to the address listed below until otherwise notified by applicant. Applicant is reminded of the obligation to promptly notify the Patent and Trademark Office of any change in correspondence address to ensure receipt of all communications from the Office.

Krista Zele
Special Program Examiner
Technology Center 2600
Communications
(703) 305-4701

cc: Rodolfo Santiago
2121 Glenhurst Road
St. Louis Park, MN 55416

PATENT APPLICATION FEE DETERMINATION RECORD
 Effective October 1, 2000

1305.104501

CLAIMS AS FILED - PART I

(Column 1) (Column 2)

TOTAL CLAIMS	60	
OR	NUMBER FILED	NUMBER EXTRA
TOTAL CHARGEABLE CLAIMS	60 minus 20 =	40
INDEPENDENT CLAIMS	8 minus 3 =	5
MULTIPLE DEPENDENT CLAIM PRESENT <input type="checkbox"/>		

If the difference in column 1 is less than zero, enter "0" in column 2

CLAIMS AS AMENDED - PART II

(Column 1) (Column 2) (Column 3)

	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
Total	49	60	
Independent	2	8	13
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM <input type="checkbox"/>			

	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
Total			
Independent			
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM <input type="checkbox"/>			

	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
Total			
Independent			
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM <input type="checkbox"/>			

SMALL ENTITY TYPE ☐ OR

OTHER THAN SMALL ENTITY

RATE	FEE	RATE	FEE
BASIC FEE	355.00	BASIC FEE	710.00
X\$ 9=	360.00	X\$18=	
X40=	100.00	X80=	
+135=		+270=	
TOTAL	915.00	TOTAL	

SMALL ENTITY OR

OTHER THAN SMALL ENTITY

RATE	ADDITIONAL FEE	RATE	ADDITIONAL FEE
X\$ 9=		X\$18=	
X40=		X80=	
+135=		+270=	
TOTAL	1305.10	TOTAL	

SMALL ENTITY OR

OTHER THAN SMALL ENTITY

RATE	ADDITIONAL FEE	RATE	ADDITIONAL FEE
X\$ 9=		X\$18=	
X40=		X80=	
+135=		+270=	
TOTAL		TOTAL	

SMALL ENTITY OR

OTHER THAN SMALL ENTITY

RATE	ADDITIONAL FEE	RATE	ADDITIONAL FEE
X\$ 9=		X\$18=	
X40=		X80=	
+135=		+270=	
TOTAL		TOTAL	

* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.
 ** If the "Highest Number Previously Paid For" in THIS SPACE is less than 20, enter "20."
 *** If the "Highest Number Previously Paid For" in THIS SPACE is less than 3, enter "3."
 The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.

FORM PRO-475
Rev. 10/02

Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

04849810

163 U.S. PTO
09/04/01

S/N TO BE ASSIGNED

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Santiago et al. Serial No.: TO BE ASSIGNED
 Filed: May 4, 2001 Docket No.: 1305.10US01
 Title: SYSTEM AND METHOD FOR HIERARCHICAL POLICING OF FLOWS AND SUBFLOWS OF A DATA STREAM

CERTIFICATE UNDER 37 CFR 1.10

"Express Mail" mailing label number: EL733008235US

Date of Deposit: May 4, 2001

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office To Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

By: 

Steven R. Funk

 163 U.S. PTO
09/04/01
09/04/01

Box PATENT APPLICATION

 Assistant Commissioner for Patents
 Washington, D.C. 20231

- ☒ Patent application including 33 pages of specification, 11 pages of claims, 13 sheets of drawings and 1 page of abstract
☒ Unsigned Declaration and Power of Attorney
☒ Transmittal Sheet
☒ Return postcard
☒ Authorized to charge \$915.00 to Deposit Account Number 50-1038 for Filing Fee

Applicant claims small entity status

Assignee Information for Publication Purposes

 Assignee Name: Terego Communications, Inc.
 Assignee Residence: Maple Grove, Minnesota

CLAIMS FILED					
	Total Claims	In Excess of	Extra Claims Present	Rate	Fees
Basic Filing Fee					\$ 355.00
Total Claims	60	20	40	X \$9.00	\$ 360.00
Indep. Claims	8	3	5	X \$40.00	\$ 200.00
Multiply Dependent Claims					\$ 0.00
TOTAL FEES					\$ 915.00

Authorization is hereby given to charge any additional fees or credit any overpayments that may be deemed necessary to Deposit Account Number 50-1038.

Respectfully submitted,

 Altara Law Group, LLC
 6500 City West Parkway, Suite 180
 Minneapolis, MN 55344
 (952) 912-0523

Date: May 4, 2001

By: 
 Steven R. Funk
 (952) 912-0541
 Reg. No. 37,830
 SRF/LHT

 01861860 820105 20000000 71133AN S002/S0/S0
 05/05/2005 WESTAL 00000000 1300.00 10

changed
 after
 request
 granted
 to withdraw
 as attorney.